

DICO Consulting Code

After detailed discussion, the founding partners decided on inaugural assembly that DICO - German Institute for Compliance e.V. individual and company memberships of consultants and consulting companies are welcomed, provided that these have expertise in compliance consulting and through their active cooperation promote the work of DICO. It is precisely here that founding members see added value in achieving the goals of the association. At the same time the executive committee was requested to consider the concerns expressed on the inaugural assembly with the admission of advisors as members and with their integration into the association work. These expectations are formulated below.

For the purposes of this Code, consultants are individuals and employees of companies that offer products and services for the support of Compliance. These include in particular Management consultants, certified public accountant, lawyers, IT service providers, software tool providers and university lecturers/researchers. Companies that offer these products and services (consulting companies) as well as individuals can become members of DICO.

1. consultants should play an active role and contribute to the interdisciplinary work through their cooperation.
2. 2 When applying for membership, consultants in person must provide appropriate expertise if this is not already known to DICO. The same applies to consulting company. The application must be supported by an active member of the company ("sponsor").
3. When applying for membership consultants accept this "DICO Code Advisor" as binding.
4. The Executive Board and Board of Directors expect the consultants to actively enrich discussions through their own project experience or lectures support and the work in committees and working groups.
5. Membership in DICO or participation in committees and working groups, which only serves the passive inclusion of information, will not be tolerated. Consultants may participate as members in no more than two committees and two working groups.
6. Consultants are not allowed to use the DICO membership for the active acquisition of orders and man-data. These include, among other things: the targeted addressing of members for the purpose of marketing/advertising by taking advantage of joint membership or with reference to DICO;
 - the direct sending of company presentations to DICO members to whom only DICO has a contact;
 - the distribution of gifts or advertising materials;
 - the distribution of business cards without request;
 - the use of the name DICO to achieve goals that do not serve the purposes and goals of the association;
 - the use of address materials that are only available to DICO distributors for the purpose of the company's own public relations work.
 - Any other form of active advertising not mentioned here explicitly is unwanted.

7. The observance of any applicable professional and professional guidelines is a prerequisite.
8. Committees shall only be managed by representatives of companies. Working groups can also be led by consultants. In this case, special expertise in the respective subject area is expected.
9. The number of consultants in committees and working groups are supposed to be half of the total number at most in order to ensure both interdisciplinary exchange and the contribution of company representatives.
10. Work results from committees and working groups are only used by consultants if they have actively participated in the committee or working group.
11. Documents created or used within the framework of committees and/or working groups must be marked accordingly. If these are used in other contexts, e.g. in consulting, the authorship must be quoted correctly. Should individual contents become, the source must be marked according to the demands of scientific work.

Violation of the "DICO Code Advisor", e.g. active advertising or acquisition efforts with DICO members, may result in exclusion. This is decided by the Executive Board in accordance with the Articles of Association.

Members of DICO and its committee(s) are requested to inform the Board of Directors of any violations that come to their attention.